


THEORY OF COMPUTATION AND COMPILERS

Unit - II

CONTEXT FREE GRAMMARS AND PARSING

- Introduction
- Context-Free Grammars - Derivation, Parse trees, Ambiguity
- Types of Parsers
- LL(K) grammars and LL(1) parsing
- Bottom-up Parsing - handle pruning
- **LR Grammar Parsing** 
- LALR parsing
- Parsing ambiguous grammars
- Error Recovery in Parsing
- YACC programming specification

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Unit-II: Syntax Analysis (or) Parser

Canonical LR-Parser (CLR Parser)

Outline:

- More Powerful LR Parsers
- Canonical LR Parser (CLR Parser)
- Canonical LR(1) Items & DFA

More Powerful LR Parsers

We shall extend the previous **LR** parsing techniques to use **one symbol of lookahead** on the input. There are *two different methods*:

1. The "**canonical-LR**" or just "**LR**" method, which makes full use of the **lookahead symbol(s)**. This method uses a **large set of items**, called the **LR (1)** items.
2. The "**lookahead-LR**" or "**LALR**" method, which is based on the **LR (0)** sets of items, and has many fewer states than typical parsers based on the **LR (1)** items.

More Powerful LR Parsers

2. By carefully introducing **lookaheads** into the **LR(0)** items, we can handle many more grammars with the **LALR** method than with the **SLR** method, and build **parsing tables** that are no bigger than the **SLR** tables. **LALR** is the method of choice in most situations.

Canonical LR Parser (CLR Parser)

- The **Canonical LR** parser is obtained first by constructing **LR(1)** items.
- Carry extra information in the state so that wrong reductions by **A** \rightarrow **α** will be ruled out.
- Redefine **LR** items to include a **terminal symbol** as a **second component** (look ahead symbol).
- The **general form of the item** becomes [**A** \rightarrow **$\alpha . \beta$** , **a**] which is called **LR(1)** item.

Canonical LR Parser (CLR Parser)

- Item $[A \rightarrow \alpha . , a]$ calls for reduction only if next input is a . The set of symbols.
- **Canonical LR** parsers solve this problem by storing extra information in the state itself. The problem we have with **SLR** parsers is because it does reduction even for those symbols of **Follow(A)** for which it is invalid. So **LR** items are redefined to store **1 terminal (look ahead symbol)** along with state and thus, the items now are **LR(1)** items.

Canonical LR(1) Items

Definition:

An item of the form $[A \rightarrow \alpha \cdot \beta, a]$ where $A \rightarrow \alpha\beta$ is a production and 'a' is a terminal or $\$$ (the right end marker) is called LR(1) item. Here, 1 is lookahead of the item.

Observe the following points:

- In an item of the form $[A \rightarrow \alpha \cdot \beta, a]$ where β is not ϵ , the lookahead has no effect.
- The item of the form $[A \rightarrow \alpha \cdot, a]$ calls for the reduction using the production $A \rightarrow \alpha$ if and only if the next input symbol is 'a'.

Canonical LR(1) Items

CLOSURE(I):

If I is a **set of items** for a **grammar G** , then **CLOSURE (I)** is constructed using the following *two rules*:

Rule 1: Initially, add every item in I to **CLOSURE (I)**

Rule 2: If $[A \rightarrow \alpha . B \beta , a]$ is in **CLOSURE (I)** and $B \rightarrow \gamma$ is a production then, for each **terminal 'b'** in **FIRST (βa)**, we add $[B \rightarrow . \gamma , b]$ to **CLOSURE (I)**.

Rule 3: Apply rule 2 repeatedly until no more new items are added to **CLOSURE (I)**.

Canonical LR(1) Items

CLOSURE(I):

Example: Consider the following augmented grammar and **FIRST** sets

1. $S' \rightarrow S$
2. $S \rightarrow CC$
3. $C \rightarrow cC$
4. $C \rightarrow d$

	S	C
FIRST	c, d	c, d

Find **CLOSUR**($[S' \rightarrow .S, \$]$)

Canonical LR(1) Items

CLOSURE(I):

Example: *Solution*

The **CLOSUR**([**S'** → **.S**, **\$**]) is computed by adding itself into **CLOSUR** in the beginning as shown below:

$$\mathbf{CLOSUR}([\mathbf{S}' \rightarrow \mathbf{.S}, \mathbf{\$}]) = \{$$

$\mathbf{S}' \rightarrow \mathbf{.S}, \mathbf{\$}$ $\mathbf{A} \rightarrow \mathbf{\alpha.B\beta}, \mathbf{a}$	After dot(.) , S is present. So, add S → CC and put dot(.) at the beginning. Here, $\beta = \epsilon$, $a = \$$, $\mathbf{FIRST}(\beta a) = \mathbf{FIRST}(\$) = \$$
---	--

Canonical LR(1) Items

CLOSURE(I):

Example: *Solution*

CLOSUR($[S' \rightarrow .S, \$]$) = {

$S \rightarrow .CC, \$$ $A \rightarrow \alpha.B\beta, a$	After dot(.), C is present. So, add $C \rightarrow cC \mid d$ and put dot(.) at the beginning. Here, $\beta = C$, $a = \$$, $FIRST(\beta a) = FIRST(Ca) = \{c, d\}$
$C \rightarrow .cC, c \mid d$ $C \rightarrow .d, c \mid d$	After dot(.), terminal is present. So stop at this point.

}

Canonical LR(1) Items

Algorithm to compute CLOSURE(I):

Function **CLOSURE(I)**

{

repeat

for each item $[A \rightarrow \alpha.B\beta, a]$ is in **I** and for each production

$B \rightarrow \gamma$ in **G**

for each terminal **b** in **FIRST**(βa)

add $[B \rightarrow .\gamma, b]$ to **I** if not present earlier

until no more new items added to **I**

return **I**

}

Canonical LR(1) Items

GOTO function:

The **GOTO** (I , X) is a function which accepts set of items I as the *first argument* and X which is a *grammar symbol* (it can be either a **terminal** or a **non-terminal**) as the *second argument*. It is formally defined as shown below:

If $[A \rightarrow \alpha.X\beta, a]$ in I

$$\mathbf{GOTO} (I, X) = \mathbf{CLOSURE} (A \rightarrow \alpha X . \beta, a)$$

The GOTO() function is used to define the transition from one set of items to other set of items.

Canonical LR(1) Items

Algorithm to find GOTO (I, X):

Function **GOTO** (**I**, **X**)

{

for each item $([A \rightarrow \alpha.X\beta, a]$ is in **I**)

return **CLOSURE** ($[A \rightarrow \alpha X.\beta, a]$)

}

Canonical LR(1) Items

GOTO function:

Example: Consider the following augmented grammar and **FIRST** sets

1. $S' \rightarrow S$
2. $S \rightarrow CC$
3. $C \rightarrow cC$
4. $C \rightarrow d$

	S	C
FIRST	c, d	c, d

Find **GOTO**($[S \rightarrow .CC, \$], C$)

Canonical LR(1) Items

GOTO function:

Example: *Solution*

It is given that $I = [S \rightarrow \cdot CC, \$]$. Observe that immediately after **dot** (.) we have a **variable** or **non-terminal** **C**. So, to compute **GOTO** (I, C) use $[S \rightarrow \cdot CC, \$]$ and shift **dot** (.) to next position and compute **CLOSURE** as shown below:

Canonical LR(1) Items

GOTO function:

Example: *Solution*

CLOSUR([S → .CC, \$]) = {

S → .CC, \$ A → α.Bβ, a	After dot(.), C is present. So, add C → cC d and put dot(.) at the beginning. Here, β = C, a = \$, FIRST(βa) = FIRST(Ca) = {c, d}
C → .cC, c d C → .d, c d	After dot(.), terminal is present. So stop at this point.

}

Canonical LR(1) Items

Canonical collection of sets of LR(1) items:

Function **ITEMS** (G')

{

$C = \text{CLOSURE} ([S' \rightarrow \cdot S, \$])$

repeat

 for each set of items I in C

 for each grammar symbol X

 if (**GOTO** (I, X) is not empty and not in C)

 add **GOTO** (I, X) to C

until no more sets of items are added to C ;

return C

}

Canonical LR(1) Items

Example :

Consider the following augmented grammar:

$$1. S' \rightarrow S$$

$$2. S \rightarrow CC$$

$$3. C \rightarrow cC$$

$$4. C \rightarrow d$$

Obtain **LR (1)** items (or) compute **canonical collection of sets of LR (1)** items.

Canonical LR(1) Items

Example: *Solution*

The LR(1) items can be obtained by taking the ($[S' \rightarrow \cdot S, \$]$)

$I_0:$

$S' \rightarrow \cdot S, \$$

$S \rightarrow \cdot CC, \$$

$C \rightarrow \cdot cC, c \mid d$

$C \rightarrow \cdot d, c \mid d$

$I_1: \text{GOTO } (I_0, S)$

$S' \rightarrow S \cdot, \$$

$I_2: \text{GOTO } (I_0, C)$

$S \rightarrow C \cdot C, \$$

$C \rightarrow \cdot cC, \$$

$C \rightarrow \cdot d, \$$

Canonical LR(1) Items

Example: *Solution*

I₃: GOTO (I₀, c)

C → c.C, c | d

C → .cC, c | d

C → .d, c | d

I₄: GOTO (I₀, d)

C → d., c | d

I₅: GOTO (I₂, C)

S → CC., \$

I₆: GOTO (I₂, c)

C → c.C, \$

C → .cC, \$

C → .d, \$

I₇: GOTO (I₂, d)

C → d., \$

I₈: GOTO (I₃, C)

C → cC., c | d

Canonical LR(1) Items

Example: *Solution*

GOTO (I_3 , c) = I_3

$C \rightarrow c.C, c \mid d$

$C \rightarrow .cC, c \mid d$

$C \rightarrow .d, c \mid d$

GOTO (I_3 , d) = I_4

$C \rightarrow d., c \mid d$

I_9 : **GOTO** (I_6 , C)

$C \rightarrow cC., \$$

GOTO (I_6 , c) = I_6

$C \rightarrow c.C, \$$

$C \rightarrow .cC, \$$

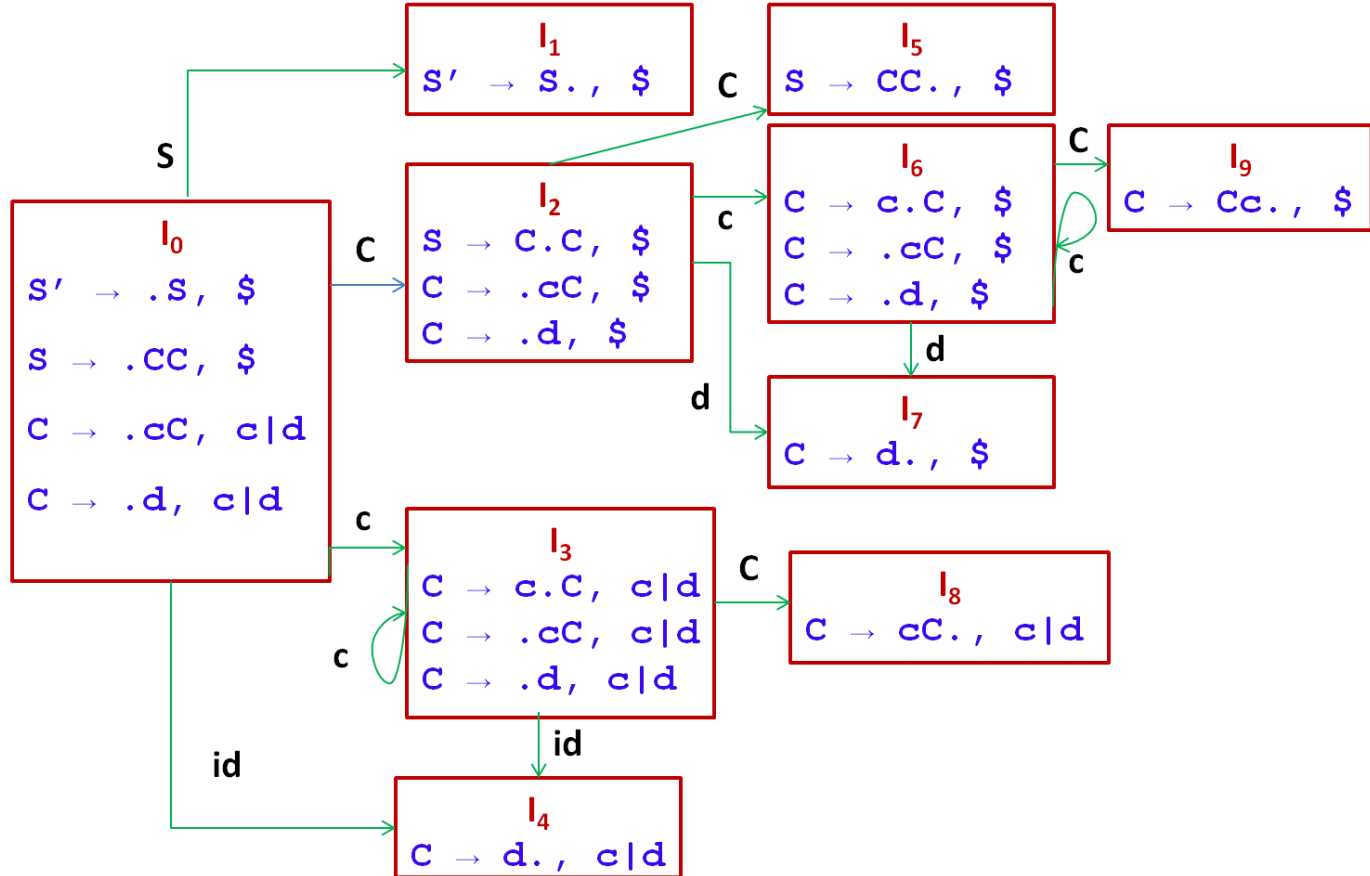
$C \rightarrow .d, \$$

GOTO (I_6 , d) = I_7

$C \rightarrow d., \$$

Canonical LR(1) Items

Fig: DFA for LR(1) items



Summary...

Bottom-Up Parsing: CLR-Parser

- More Powerful LR Parsers
- Canonical LR Parser (CLR Parser)
- Canonical LR(1) Items & DFA

Reading: Aho2, Section 4.7.1 & 4.7.2

Next Lecture: Constructing CLR Parsing Table